

Metadata of the chapter that will be visualized online

ChapterTitle	Periorbital Surgical Rehabilitation After Facial Nerve Paralysis	
Chapter Sub-Title		
Chapter CopyRight - Year	Springer Science+Business Media, LLC 2010 (This will be the copyright line in the final PDF)	
Book Name	Ophthalmic Oncology	
Corresponding Author	Family Name	Chang
	Particle	
	Given Name	Heather
	Suffix	
	Division	
	Organization	Jules Stein Eye Institute, UCLA Medical Center
	Address	Los Angeles, CA, USA
	Email	hkwon88@yahoo.com
Author	Family Name	Taban
	Particle	
	Given Name	Mehryar
	Suffix	
	Division	Department of Orbito-Facial Surgery
	Organization	Jules Stein Eye Institute, University of California, Los Angeles
	Address	Los Angeles, CA, TX, USA
	Email	TabanMD@yahoo.com
Author	Family Name	Nakra
	Particle	
	Given Name	Tanuj
	Suffix	
	Division	Ophthalmic Plastic and Orbital Surgery
	Organization	Texas Oculoplastic Consultants
	Address	Austin, TX, USA
	Email	tnakra@tocaustin.com
Abstract	<p>Facial nerve paralysis can result from a number of causes, including neoplasms, Bell's palsy, infections, trauma, congenital conditions, and idiopathic processes. Both the medical and social consequences of facial nerve paralysis can be distressing for patients. The most significant ophthalmic consequence of facial nerve paralysis is loss of function of the orbicularis oculi muscle. The complete assessment of a patient with facial nerve paralysis includes clinical evaluation of the resting tone and active function of the facial muscles, as well as determination of the extent of dry eye and the function of the lacrimal gland and lacrimal drainage system. The goal of medical therapy is symptomatic relief of dry eye and exposure keratopathy. Botulinum toxin can also be employed to treat other symptoms, such as synkinesis, hypertonicity, and spasms. The goal of surgical therapy is improved protection of the cornea, as well as a more symmetric static and dynamic appearance. Lagophthalmos and exposure keratopathy can be addressed with procedures such as surgical closure of the eyelids, known as tarsorrhaphy, or other alternatives, such as placement of an alloplastic gold weight in the upper eyelid, injection of hyaluronic acid gel into the upper eyelid, or palpebral springs. Ectropion also commonly results from facial nerve paralysis and can be improved with lateral or medial canthal procedures. Reanimation of the midface can be accomplished by any of several surgical techniques; some provide static support for the midface, while others attempt to restore dynamic movement to the paralyzed face.</p>	

Chapter 24

Periorbital Surgical Rehabilitation After Facial Nerve Paralysis

Heather Chang, Mehryar Taban, and Tanuj Nakra

Abstract Facial nerve paralysis can result from a number of causes, including neoplasms, Bell's palsy, infections, trauma, congenital conditions, and idiopathic processes. Both the medical and social consequences of facial nerve paralysis can be distressing for patients. The most significant ophthalmic consequence of facial nerve paralysis is loss of function of the orbicularis oculi muscle. The complete assessment of a patient with facial nerve paralysis includes clinical evaluation of the resting tone and active function of the facial muscles, as well as determination of the extent of dry eye and the function of the lacrimal gland and lacrimal drainage system. The goal of medical therapy is symptomatic relief of dry eye and exposure keratopathy. Botulinum toxin can also be employed to treat other symptoms, such as synkinesis, hypertonicity, and spasms. The goal of surgical therapy is improved protection of the cornea, as well as a more symmetric static and dynamic appearance. Lagophthalmos and exposure keratopathy can be addressed with procedures such as surgical closure of the eyelids, known as tarsorrhaphy, or other alternatives, such as placement of an alloplastic gold weight in the upper eyelid, injection of hyaluronic acid gel into the upper eyelid, or palpebral springs. Ectropion also commonly results from facial nerve paralysis and can be improved with lateral or medial canthal procedures. Reanimation of the midface can be accomplished by any of several surgical techniques; some provide static support for the midface, while others attempt to restore dynamic movement to the paralyzed face.

24.1 Introduction

Facial nerve paralysis can result from a number of causes, including neoplastic processes, Bell's palsy, infections, trauma, congenital conditions, and idiopathic processes. Tumors can lead to facial nerve paralysis directly by mass effect or

H. Chang (✉)

Jules Stein Eye Institute, UCLA Medical Center, Los Angeles, CA, USA
e-mail: hkwon88@yahoo.com

46 nerve infiltration; facial nerve paralysis can also occur following tumor resection
47 [1]. Tumors can impact the facial nerve centrally, at the cerebellopontine angle
48 (e.g., acoustic neuromas and meningiomas), and peripherally (e.g., parotid gland
49 tumors).

50 Facial nerve paralysis can be distressing for patients and has both medi-
51 cal and social consequences. Periocular sequelae of paralysis, such as exposure
52 keratopathy and paralytic ectropion, can cause significant discomfort and mor-
53 bidity. Activities of daily living, such as eating and speaking, are often affected,
54 and this can cause the patient emotional distress. Facial nerve paralysis can be
55 accompanied by synkinesis, in which attempted voluntary movements lead to
56 involuntary and undesired movements of other facial muscles, which is another
57 potential source of emotional distress. Patients with facial nerve paralysis often
58 reduce their participation in social activities, which negatively affect their mental
59 health [2].

60 The treatment of facial nerve paralysis includes both medical and surgical man-
61 agement. The goal of medical therapy is symptomatic relief of dry eye and exposure
62 keratopathy. Botulinum toxin can also be employed to treat synkinesis, hypertonic-
63 ity, and spasms [3]. The goal of surgical therapy is a more symmetric static and
64 dynamic appearance, as well as protection of the cornea. Surgical therapy can
65 address lagophthalmos, ectropion, brow ptosis, and facial droop.

68 24.2 Relevant Anatomy

70 The facial nerve, the seventh cranial nerve, has multiple functions. It provides motor
71 innervation to the muscles of facial expression, the throat muscles, the posterior
72 belly of the digastric muscle, and the auricular, stylohyoid, and stapedius muscles.
73 It also provides parasympathetic innervation to the lacrimal and salivary glands,
74 along with sensory innervation to the external ear and the anterior two-thirds of
75 the tongue. The course of the facial nerve is complex (Fig. 24.1). Four nuclei
76 within the brainstem supply the facial nerve, which exits the brainstem laterally
77 at the cerebellopontine angle and travels with the eighth cranial nerve to enter
78 the internal auditory canal. The nerve then travels within the temporal bone and
79 gives off the greater and lesser superficial petrosal nerves, as well as the nerve to
80 the stapedius muscle and the chorda tympani. The facial nerve courses through
81 the stylomastoid foramen, exits the temporal bone, passes through the parotid
82 gland, and then finally divides into the temporal, zygomatic, buccal, mandibular,
83 and cervical branches, which, in turn, innervate the corresponding facial muscles
84 (Fig. 24.1).

86 The most significant ophthalmic consequence of facial nerve paralysis is loss of
87 function of the orbicularis oculi muscle. This muscle's major function is to close
88 the palpebral aperture, opposing the action of the levator palpebrae superioris mus-
89 cle. In addition, the orbicularis oculi powers the "lacrimal pump": the simultaneous
90

24 Periorbital Surgical Rehabilitation After Facial Nerve Paralysis

91
92
93
94
95
96
97
98
99
100
101
102
103
104
105
106
107
108
109
110
111
112
113
114
115
116

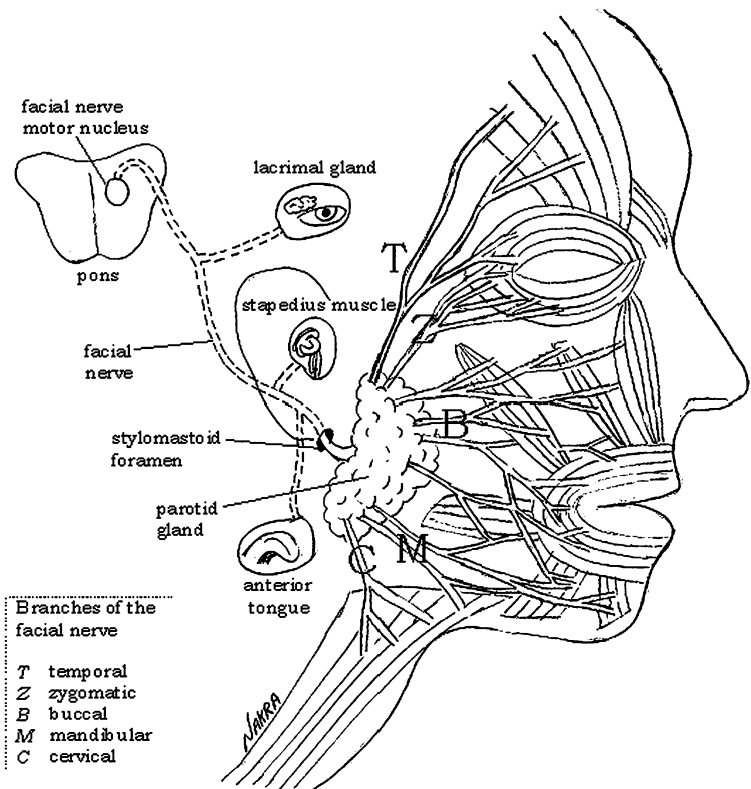


Fig. 24.1 Anatomy of the facial nerve. The facial nerve begins in the pons and provides parasympathetic innervation to the lacrimal and salivary glands. The nerve branches to innervate the stapedius muscle and anterior tongue and then exits the temporal bone through the stylomastoid foramen to innervate the parotid gland. Within the gland, the facial nerve divides into the temporal, zygomatic, buccal, mandibular, and cervical branches that provide motor innervation to the muscles of facial expression

117
118
119
120
121
122
123

contraction of the deep pre-tarsal head of the orbicularis oculi (Horner’s muscle), which pulls the eyelid nasally and posteriorly, and the preseptal orbicularis oculi, which pulls the lacrimal sac laterally. This coordinated contraction compresses the canaliculi, pumping tears into the lacrimal sac. Subsequent relaxation of the orbicularis oculi then creates negative pressure in the canaliculi, thereby drawing tears in for the next pump cycle. Paralysis of the orbicularis oculi can result in lagophthalmos and paralytic ectropion, which places the ocular surface at risk of exposure and breakdown and can also result in epiphora.

132
133
134
135

Paralysis of other facial muscles can affect both voluntary and involuntary movements along with facial symmetry (Fig. 24.2). Decreased function of the frontalis muscle can lead to brow ptosis. The function of the dilator nasi muscle can be

136
137
138
139
140
141
142
143
144
145
146
147
148
149
150
151
152
153
154
155
156
157
158
159
160
161
162
163
164
165
166
167
168
169
170
171
172
173
174
175
176
177
178
179
180

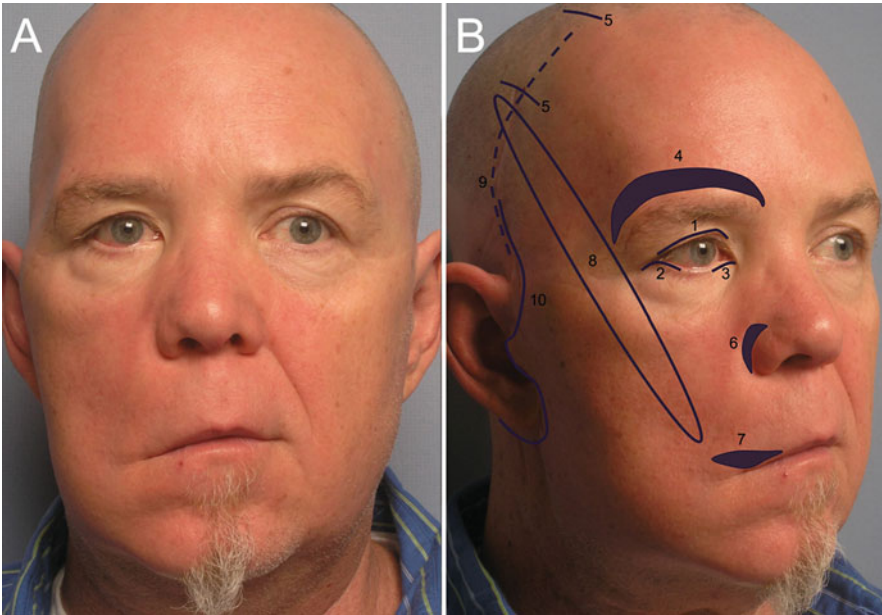


Fig. 24.2 Typical findings of facial nerve palsy and surgical approaches. (a) A 50-year-old man with right facial nerve paralysis after excision of a right acoustic neuroma. Note the paralytic right brow ptosis, mechanical dermatochalasis, lower eyelid ectropion, medial canthal laxity, nasolabial fold attenuation, alar collapse, lateral oral commissure droop, lower facial droop, and midfacial droop. (b) Composite photograph of the same patient illustrating the locations of various surgical approaches for comprehensive rehabilitation, including (1) insertion of an upper eyelid weight, (2) lateral canthoplasty and/or creation of a static lower eyelid sling, (3) medial canthopexy, (4) direct brow lift, (5) endoscopic forehead lift, (6) direct alar lift, (7) oral commissure lift, (8) midface lift, (9) temporalis muscle transfer or temporalis fascia sling, and (10) deep plane rhytidectomy and neck lift

affected, leading to nasal obstruction. Dysfunction of the orbicularis oris, risorius, or depressor anguli oris muscles contributes to asymmetry and can lead to problems with speech along with drooling and biting of the oral mucosa. Loss of function of the zygomaticus major and minor muscles leads to flattening of the nasolabial fold, increasing facial asymmetry and potentially an increase in the patient's emotional distress.

24.3 Clinical Evaluation

The complete assessment of a patient with facial nerve paralysis includes clinical evaluation of the resting tone and the active function of muscles as well as determination of the extent of dry eye and the function of the lacrimal gland and lacrimal drainage system.

24.3.1 Evaluation of Muscle Function

The evaluation begins with the patient at rest with careful observation of involuntary movements and resting facial symmetry. Asking the patient to voluntarily contract the various muscle groups can further elucidate the pattern of loss of innervation. Electrophysiology testing—electromyography, electroneurography, maximal stimulation test, and nerve excitability test—can be used to further clarify the amount of degeneration of the facial nerve. Grading scales to grade the severity of the impairment of facial nerve function have been developed and emphasize the muscles of facial expression [2, 4].

As previously mentioned, loss of orbicularis oculi function is the most significant ophthalmic consequence of facial nerve paralysis and can result in lagophthalmos and paralytic ectropion, placing the ocular surface at risk for exposure and breakdown. The strength of the orbicularis oculi can be evaluated by observing the degree of lagophthalmos and the presence of Bell's phenomenon at rest and with gentle and then forceful blinking. Orbicularis oculi tone can be further evaluated by asking the patient to close his/her eyes while the clinician tries to manually force open the patient's eyelids. The cornea should be evaluated for both sensation and signs of exposure. The eyelid position should be assessed for the presence of laxity and for the presence of paralytic ectropion.

Evaluating frontalis muscle function can be especially helpful in determining whether facial nerve palsy is central or peripheral. Central facial nerve palsy spares frontalis muscle function as the forehead has bilateral upper motor neuron innervation. In contrast, peripheral facial nerve palsy affects ipsilateral frontalis muscle innervation, and the patient is unable to wrinkle the ipsilateral forehead, resulting in brow ptosis.

Evaluation of midfacial muscle function is also an important part of the clinical examination. The nasolabial fold is created by the zygomaticus major and minor, levator labii superioris, and levator labii superioris alaeque nasi muscles, and this fold may be effaced or absent with facial nerve palsy. The nasal ala may appear collapsed because of loss of levator labii superioris alaeque nasi function and support of the internal nasal valve. The zygomaticus major, which draws the angle of the mouth laterally, and the zygomaticus minor, which elevates and everts the upper lip, can be assessed by asking the patient to attempt to smile. The orbicularis oris muscle narrows the orifice of the mouth, purses the lips, and plays an important role in speech and oral competence. Difficulty in speech, along with the presence of drooling, lip laxity, and biting of the oral mucosa, can indicate involvement of the facial nerve branches innervating the zygomaticus and orbicularis oris muscles.

24.3.2 Evaluation of Lacrimal Gland and Lacrimal Drainage System Function

Both lacrimal gland function and the lacrimal drainage system can be compromised in patients with facial nerve palsy. The parasympathetic innervation for the

secretomotor function of the lacrimal gland travels with the proximal part of the facial nerve. Thus, facial nerve paralysis can lead to decreased lacrimal gland function and decreased tear production, which can exacerbate exposure keratopathy. Decreased function of the lacrimal gland is particularly common with facial nerve paralysis resulting from acoustic neuromas or other central nervous system tumors. Lacrimal gland function is evaluated using the Schirmer test without anesthesia: less than 10 mm of wetting on a filter paper strip in 5 min can indicate that the proximal facial nerve is damaged. Patients with facial nerve paralysis can also be affected by lower eyelid ectropion and decreased function of the lacrimal pump, leading to epiphora and worsening of corneal exposure.

24.4 Medical Management

The first step in managing facial nerve palsy is supportive treatment to stabilize and protect the cornea. Maintaining the health of the cornea is crucial, as exposure keratopathy and corneal abrasions can result in serious corneal infections, corneal perforation, and even blindness. Artificial tears and lubricating ointments are mainstays of treatment. Preservative-free artificial tear preparations can be employed when frequent administration is required. In more severe cases, moisture chambers that are designed to slow the evaporation of tears from the surface of the eye can be used during sleep. These range from chambers created with a cellophane cover taped over the eyes to customized moisture goggles. Even the simple application of tape can be used to force the palpebral fissure closed during sleeping; however, this needs to be done cautiously as the tape itself can be a source of corneal abrasion if it loosens during sleep. In patients with some remaining lacrimal pump function, punctal plugs can be useful in the treatment of dry eye. When corneal abrasions do occur, bandage contact lenses and pressure patching with ointment can help promote corneal healing.

Botulinum toxin can be useful in treating both exposure keratopathy and facial spasms. If the patient has a good Bell's phenomenon, injection of botulinum toxin into the levator palpebrae superioris at the upper border of the tarsus can produce ptosis and protect the cornea, although the patient's vision is obviously affected and some patients experience diplopia [4]. However, this procedure is a minimally invasive and temporary measure that still allows the cornea to be easily examined.

Another application of botulinum toxin in facial nerve paralysis is the treatment of facial muscle spasms and synkinesis. Hypertonicity of facial muscles often occurs during recovery after facial nerve trauma, and aberrant regeneration of the facial nerve branches can lead to involuntary spasms and involuntary muscle movements. Botulinum toxin can be selectively injected to target the hypertonic muscles and prevent involuntary muscle movements [3, 4].

In cancer patients, facial nerve paralysis can be caused by involvement of the facial nerve by leptomeningeal disease from hematologic malignancies or invasion of the facial nerve from metastases from solid tumors. In these cases, systemic or intrathecal chemotherapy may lead to resolution of facial nerve paralysis.

24.5 Surgical Management

When medical therapy insufficiently addresses the medical and social/emotional consequences of facial nerve paralysis, surgical therapy may be indicated. Occasionally, urgent surgical intervention is indicated for cases of impending ocular surface damage. The soft tissue changes that occur in facial nerve paralysis, such as lower eyelid ectropion, brow ptosis, and facial droop, can be ameliorated with surgical interventions (Fig. 24.2).

24.5.1 Treatment of Lagophthalmos and Exposure Keratopathy

Lagophthalmos and exposure keratopathy can be addressed with surgical closure of the eyelids, known as tarsorrhaphy. As a first step, application of cyanoacrylate glue to the eyelashes or placement of a nonabsorbable suture can create a temporary partial tarsorrhaphy. A temporary tarsorrhaphy may be preferable in cases of recent paralysis that may improve spontaneously over time. A partial tarsorrhaphy preserves some visual function and allows access to the cornea for examination. However, for patients without adequate Bell's phenomenon, a permanent tarsorrhaphy (either partial or complete) is a more effective treatment. A permanent tarsorrhaphy can be performed for established cases of severe palsy. A permanent tarsorrhaphy is accomplished by de-epithelializing the upper and lower eyelid margins and then approximating the upper tarsus and lower tarsus with mattress sutures.

Another option to address lagophthalmos is the placement of an alloplastic weight in the upper eyelid (Fig. 24.3). Gold and platinum are commonly used and aid passively in eyelid closure by exerting a gravitational effect while the levator palpebrae superioris muscle relaxes. The weight is especially useful in patients with exposure keratopathy accompanied by decreased tear production and poor Bell's phenomenon. Preoperative application of tester weights can facilitate selection of the weight that allows the greatest eyelid closure while also allowing adequate eyelid opening in primary gaze. Once the proper weight is selected, it is then secured to the upper border of the tarsus deep to the orbicularis oculi through an eyelid crease incision. Some surgeons choose to wrap the weight in a Dacron (terephthalate fiber) mesh to allow fibrosis around the weight to stabilize its position. Potential complications of alloplastic weight placement are persistent inflammation, extrusion, and eyelid distortion. For temporary facial nerve paralysis, personalized external weights that are secured to the skin of the upper eyelid with adhesive tape are commercially available and can lead to patient satisfaction.

Another alternative for the treatment of lagophthalmos resulting from temporary facial nerve weakness is the injection of hyaluronic acid gel into the upper eyelid [5]. A 30-G needle is used to perform multiple injections of small amounts of hyaluronic acid gel in sites across the length of the upper eyelid into the pre-tarsal and prelevator aponeurosis regions (Fig. 24.4). The hyaluronic acid gel is layered using

316
317
318
319
320
321
322
323
324
325
326
327
328
329
330
331
332
333
334
335



336
337
338
339
340
341
342
343
344

Fig. 24.3 Upper eyelid gold weight in a 60-year-old man with left paralytic lagophthalmos. (a) Degree of lagophthalmos before weight placement. (b) Fitting the patient with a trial weight preoperatively and having the patient test it by attempting to close the eyelid, which facilitates appropriate weight selection to avoid undercorrection or overcorrection. (c) Photograph taken 1 week after insertion of a 1.4-g gold weight into the left upper eyelid and medial canthopexy. (d) Photograph taken 1 year after placement of the gold weight, showing stable resolution of lagophthalmos

345
346
347
348
349
350

multiple thread-like injections that are placed deep to the orbicularis oculi muscle. Among the advantages of this technique is that it is temporary and that its effect can be reduced if necessary with hyaluronidase. Hyaluronic acid gel injections can also be used as an adjunct to previous gold weight placement when lagophthalmos persists, and these injections offer an alternative for patients who are poor surgical candidates.

351
352
353
354
355
356
357
358
359
360

Palpebral springs made of stainless steel can also be used in the treatment of lagophthalmos. These springs can restore a natural-appearing blink with full closure of the eyelid and do not rely on gravity. However, the process of adjusting the spring shape and tension for the individual patient is complex and requires frequent adjustments after the initial surgery. The size and shape of the spring are modified before implantation to follow the eyelid curvature. After exposure of the tarsus via an eyelid crease incision, the tarsal limb of the spring is wrapped in Dacron mesh and then secured to the tarsus, and the fulcrum of the spring is secured to the lateral orbital periosteum. The remaining limb of the spring is then sutured to the periosteum of the superior orbit. Potential complications include extrusion, spring

24 Periocular Surgical Rehabilitation After Facial Nerve Paralysis

361
362
363
364
365
366
367
368
369
370
371
372
373
374
375
376
377
378
379
380
381
382
383
384
385
386
387
388



Fig. 24.4 Upper eyelid hyaluronic acid gel weight in a 90-year-old man with left paralytic lagophthalmos due to Bell's palsy. **(a)** Degree of lagophthalmos before injection. **(b)** Attempted closure immediately after injection of hyaluronic acid gel (Juvederm Ultra; Allergan, Inc., Irvine, CA) into the left upper eyelid. **(c)** Attempted closure at 5-month follow-up. Note the resolution of lagophthalmos

malfunction, and the need for frequent readjustment. Because of these problems, very few centers currently use palpebral springs as first-line treatment for surgical rehabilitation of eyelids in facial nerve paralysis.

24.5.2 Treatment of Lower Eyelid Laxity and Ectropion

The midface extends from the lower eyelid margin to the oral commissure. The management of facial nerve palsy in this region is complex owing to the complex interplay of soft tissue gravitational effects and the loss of multiple vectors of muscular pull on the soft tissue. As with surgical options for treatment of lagophthalmos

400
401
402
403
404
405

406 and exposure keratopathy, surgical therapy for lower eyelid laxity and ectropion
407 begins with procedures designed to protect the ocular surface. Ectropion can be
408 addressed with lateral or medial canthal procedures depending on whether the eyelid
409 laxity is most apparent laterally or medially.

410 The lateral tarsal strip procedure can be useful in cases of severe laxity or ectro-
411 pion and involves horizontal eyelid shortening to improve lower eyelid tone and
412 position. The lower eyelid is horizontally shortened and is then reattached more
413 tightly to the periosteum of the lateral orbital rim. However, this procedure is asso-
414 ciated with drawbacks, including medial canthal distortion and limited efficacy in
415 patients with prominent globes.

416 To address medial ectropion or punctal eversion, a medial canthopexy can be per-
417 formed. The procedure may include excision of skin and/or conjunctiva to advance
418 the lower eyelid superiorly and medially while paying close attention to the integrity
419 of the canalicular system.

420 When there is laxity of the medial canthal tendon, a static eyelid sling can be
421 created using autologous material, such as temporalis fascia, to further support the
422 lower eyelid. In this procedure, the temporalis fascia is harvested via a posttrichial
423 incision, and the lower eyelid tarsus is exposed along with the lateral and medial
424 canthal tendons via an infralash incision. The fascia is secured to the medial canthal
425 tendon and the tarsus and is then attached to the superficial periosteum of the lateral
426 orbit [4, 6]. This procedure can be combined with the lateral canthal shortening
427 procedures to correct ectropion.

428
429

430 ***24.5.3 Reanimation of the Midface***

431

432 Reanimation of the midface in facial nerve paralysis can be addressed by any of
433 several surgical techniques, some of which provide static support for the midface
434 and others of which attempt to restore dynamic movement to the paralyzed face.

435
436

437 **24.5.3.1 Static Reanimation**

438

439 Static midface lifting can correct drooping caused by palsy of the midface muscles.
440 Several different techniques can be utilized, but the goal is to restore the ptotic
441 cheek to a more normal position and also to recruit tissue volume that can support
442 the lower eyelid and ameliorate ectropion.

443 The classic midface lift is a subperiosteal lift via a lateral canthotomy and
444 transconjunctival lower eyelid incision [7–9]. Another midface repositioning tech-
445 nique is creation of a temporalis fascia sling. In this technique, via a hemiconal
446 incision, the fascia overlying the temporalis muscle is released and hinged on its
447 inferior–medial border and then brought into the midface as a static sling suspen-
448 sion [6, 10, 11]. A less invasive option is the subperiosteal midface dissection via
449 a temporal and oral incision, along with fixation using a suture, harvested fascia
450 lata, or commercially available midface suspension kit. Another, even less invasive,

24 Periorbital Surgical Rehabilitation After Facial Nerve Paralysis



Fig. 24.5 Midface lift. Cable midface resuspension. (a) Profound complete left facial nerve palsy with ectropion in a 54-year-old man. (b) Photograph taken 1 year after lateral tarsal strip ectropion repair and minimally invasive midface resuspension with multiple 2-0 silk sutures anchored to the deep temporalis fascia. Note resolution of the ectropion. (c) Marked right facial nerve palsy in a 46-year-old woman. (d) Photograph taken 3 years after minimally invasive midface resuspension with multiple 2-0 silk sutures anchored to the deep temporalis fascia

option is the cable suspension technique, in which long sutures anchored in the midface are suspended to the temporalis fascia [12] (Fig. 24.5).

24.5.3.2 Dynamic Reanimation

Dynamic reanimation of the midface can be performed by muscle transfer and/or nerve grafting. Temporalis muscle transfer can help improve dynamic voluntary facial movement in the paralyzed face and is indicated for patients with chronic facial nerve palsy. Via a hemiconchal incision, the anterior and central portions

496 of the temporalis muscle and fascia are developed into a muscular flap and dis-
497 sected inferiorly toward the temporalis insertion [13–18]. The muscle segment is
498 left attached inferiorly, but the flap is divided and sutured to the orbicularis oculi
499 muscle and upper and lower lips, thereby creating a dynamic multiple sling sus-
500 pension. The patient can then learn to close the eyelid voluntarily by attempting a
501 chewing motion. However, while this procedure is effective in reanimating the lower
502 face, it is also associated with complications including persistent facial droop, facial
503 scarring, and unnatural facial movement.

504 Several dynamic reanimation techniques involving nerve grafting are available.
505 Following traumatic injury to the facial nerve, such as during tumor resection, direct
506 nerve repair can be attempted. However, during tumor resection, nerve grafting
507 is often required to replace the sacrificed section of the facial nerve. Commonly
508 used donor nerves include the sural nerve and the various cervical nerve branches
509 [19–21]. Cross-face nerve grafting utilizes nerves from the nonparalyzed side of
510 the face to provide innervation to the paralyzed side by incorporating sural nerve
511 grafts [21, 22]. The nerve crossover technique takes advantage of nearby nerves,
512 such as the ipsilateral glossopharyngeal and hypoglossal nerves, to serve as an
513 autograft to the facial nerve [11, 20, 23]. While these procedures can offer some
514 dynamic reanimation to the paralyzed face, their widespread use is prevented by lim-
515 itations including graft-harvest-induced paralysis, and the complex nerve grafting
516 surgery has the potential to be unsuccessful or result in uncoordinated movements.
517 It also takes at least 6–12 months for the beneficial effect of nerve grafting to
518 become apparent and, in general, nerve grafting is more effective for the lower facial
519 branches of the facial nerve than for the upper facial (periocular) branches.

520

521

522

523

24.5.4 Options for Correction of Brow Ptosis

524

525 Brow ptosis in the paralyzed face can lead to mechanical ptosis, extreme derma-
526 tochalasis, and obstruction of the superior visual field. The direct-incision brow lift
527 is the most effective and simplest surgical rehabilitation option. The amount of lift
528 needed is measured with the patient sitting upright with the brows relaxed, and then
529 the eyebrow is elevated to the desired position. The skin and subcutaneous tissue are
530 then dissected to the level of the frontalis muscle and excised using an incision supe-
531 rior to the lateral two-thirds of the eyebrow. While this procedure is very effective,
532 its disadvantages include the occasional unappealing scar and rare sensory nerve
533 damage.

534

535 A more cosmetically sensitive approach to the brow lift utilizes endoscopic tech-
536 niques via an incision hidden in the hairline and is associated with a lower rate of
537 postoperative numbness and paresthesia [24, 25]. The dissection proceeds caudally
538 in either a subperiosteal or preperiosteal plane. The corrugator and procerus muscles
539 may be resected to reduce the action of brow depression. The elevated brow is then
540 fixed posterior to the hairline with any one of various absorbable or nonabsorbable
fixation devices. Potential complications of the endoscopic brow lift for elevating a

541 paralytic brow include malposition of the brow and alopecia at the location of fix-
542 ation; in addition, the endoscopic brow lift can result in a less robust lift than that
543 achieved with the direct technique. In non-facial nerve palsy cases, the most serious
544 complication of this procedure is damage to the temporal branch of the facial nerve.
545
546

547 ***24.5.5 Additional Procedures for Management of Facial Droop***

548

549 Additional procedures for management of facial droop include rhytidectomy, lateral
550 oral commissure lift, and lateral alar lift (Fig. 24.2). Rhytidectomy may be per-
551 formed in conjunction with a midface lift and periocular reconstruction. Options
552 for rhytidectomy via a preauricular incision are the superficial musculo-aponeurotic
553 system lift and the deep plane lift. In patients with facial nerve paralysis, the usual
554 concerns about damage to the facial nerve during the deep plane facelift do not
555 apply, allowing for a more aggressive approach and making the deep plane rhytidec-
556 tomy the preferred approach for resuspending the midface and lower face in such
557 patients. Drooping of the corner of the mouth can be addressed with a lateral oral
558 commissure lift, in which slings are used to suspend the orbicularis oris to either
559 the orbital rim or the zygomatic arch. A simple variation is to perform a direct exci-
560 sion of skin and subcutaneous tissue along the lateral superior vermilion border;
561 the open approach allows direct plication of the orbicularis oris. A lateral alar lift
562 can correct collapse of the internal nasal valve; a direct curvilinear incision and skin
563 resection elevate the ala and open the nasal vestibule.
564
565

566 **24.6 Special Circumstances in Cancer Patients with Facial**

567 **Nerve Paralysis**

568
569

570 Many patients with head and neck cancer who undergo a parotidectomy develop
571 facial nerve paralysis either due to direct mechanical compression of the nerve by
572 tumor or due to the necessary sacrifice of the facial nerve during cancer-ablative
573 surgery. In the majority of these patients, postoperative adjuvant radiation therapy is
574 planned within 4–6 weeks after head and neck surgery. In these patients, we prefer
575 not to perform periocular surgical rehabilitation during the primary ablative pro-
576 cedure as this type of “one-size-fits-all” approach would lead to less than ideal
577 outcomes [1]. Instead, we prefer to evaluate the patient after the ablative head and
578 neck surgery to assess the facial tone, the size of gold weight needed, and the degree
579 of paralytic ectropion and lagophthalmos before we plan surgical rehabilitation of
580 the periocular soft tissues. Periocular surgery can be done either during the week or
581 two after the parotidectomy or a few weeks after radiation therapy is completed. An
582 important consideration in planning the timing of periocular surgery is that although
583 the radiation field in most cases does not include the periocular soft tissues, the mask
584 used during daily head and neck irradiation can be uncomfortable in the immediate
585 postoperative period after periocular surgery.

586 Another potential special circumstance in cancer patients is chemotherapy-
587 induced pancytopenia due to recent chemotherapy. In patients with such pancy-
588 topenia, surgery should be delayed until hematologic parameters have normalized.
589 Prophylactic use of antibiotics in the perioperative period is also appropriate in
590 the majority of cancer patients undergoing surgical rehabilitation for periorcular
591 manifestations of facial nerve paralysis.

594 24.7 Conclusion

596 Management of facial nerve paralysis in cancer patients poses complex challenges.
597 Spontaneous improvement of facial nerve paralysis in cancer patients is uncom-
598 mon as the nerve is often sacrificed during tumor resection. However, function may
599 be regained if the nerve is affected only indirectly by compression or is only trau-
600 matized during ablative surgery or due to mass effect. The prevention of ocular
601 surface morbidity is of paramount importance in facial nerve paralysis. Medical
602 therapy is the first step, but often surgical intervention is indicated. Surgical proce-
603 dures can help minimize morbidity to ocular tissues, provide static support for ptotic
604 facial tissues, and sometimes restore dynamic voluntary movements. Furthermore,
605 improvements in both facial function and symmetry from surgery may mitigate the
606 psychological burden to patients living with facial nerve paralysis. Future develop-
607 ments in static and dynamic reanimation will help to further address the challenges
608 of facial nerve paralysis. The extent and timing of rehabilitative surgery in cancer
609 patients with facial nerve paralysis should be individualized and depend on many
610 factors, including age, facial muscle tone, timing of adjuvant radiation therapy and
611 chemotherapy, and long-term prognosis.

614 References

- 616 1. Golio D, De Martelaere S, Anderson J, et al. Outcomes of periorcular reconstruction for facial
617 nerve paralysis in cancer patients. *Plast Reconstr Surg* 2007;119:1233–7.
- 618 2. Kahn JB, Gliklich RE, Boyev KP, et al. Validation of a patient-graded instrument for facial
619 nerve paralysis: the FaCE scale. *Laryngoscope* 2001;111:387–98.
- 620 3. Mehta RP, Hadlock TA. Botulinum toxin and quality of life in patients with facial paralysis.
621 *Arch Facial Plast Surg* 2008;10:84–7.
- 622 4. Lee V, Currie Z, Collin JR. Ophthalmic management of facial nerve palsy. *Eye* 2004;18:
623 1225–34.
- 624 5. Mancini R, Taban M, Lowinger A, et al. The use of hyaluronic acid gel in the management of
625 paralytic lagophthalmos: the hyaluronic acid gel “gold weight.” *Ophthal Plast Reconstr Surg*.
626 In press.
- 627 6. Rose EH. Autogenous fascia lata grafts: clinical applications in reanimation of the totally or
628 partially paralyzed face. *Plast Reconstr Surg* 2005;116:20–32.
- 629 7. Edelstein C, Balch K, Shorr N, et al. The transeyelid subperiosteal midface-lift in the unhappy
630 postblepharoplasty patient. *Semin Ophthalmol* 1998;13:107–14.
- 630 8. Paul MD, Calvert JW, Evans GR. The evolution of the midface lift in aesthetic plastic surgery.
Plast Reconstr Surg 2006;117:1809–27.

24 Periorbital Surgical Rehabilitation After Facial Nerve Paralysis

- 631 9. Baylis HI, Goldberg RA, Shorr N. The deep plane facelift: a 20-year evolution of technique.
632 *Ophthalmology* 2000;107:490–5.
- 633 10. Neuhaus RW, Shorr N. Use of temporal fascia and muscle as an autograft. *Arch Ophthalmol*
634 1983;101:262–4.
- 635 11. Rosson GD, Redett RJ. Facial palsy: anatomy, etiology, grading, and surgical treatment.
636 *J Reconstr Microsurg* 2008;24:379–89.
- 637 12. Ugurbas SH, Goldberg RA, McCann JD, et al. Suture midface suspension. *Head Face Med*
638 2006;2:35–9.
- 639 13. Cheney ML, McKenna MJ, Megerian CA, et al. Early temporalis muscle transposition for the
640 management of facial paralysis. *Laryngoscope* 1995;105(9 pt 1):993–1000.
- 641 14. Frey M, Giovanoli P, Tzou CJ, et al. Dynamic reconstruction of eye closure by mus-
642 cle transposition or functional muscle transplantation in facial palsy. *Plast Reconstr Surg*
643 2004;114:865–75.
- 644 15. May M, Drucker C. Temporalis muscle for facial reanimation. A 13-year experience with 224
645 procedures. *Arch Otolaryngol Head Neck Surg* 1993;119:378–82.
- 646 16. Tate JR, Tollefson TT. Advances in facial reanimation. *Curr Opin Otolaryngol Head Neck*
647 *Surg* 2006;14:242–8.
- 648 17. Yoleri L, Songur E. Modified temporalis muscle transfer for paralytic eyelids. *Ann Plast Surg*
649 1999;43:598–605.
- 650 18. Boahene KD. Dynamic muscle transfer in facial reanimation. *Facial Plast Surg* 2008;24:
651 204–10.
- 652 19. Lee EI, Hurvitz KA, Evans GR, et al. Cross-facial nerve graft: past and present. *J Plast*
653 *Reconstr Aesthet Surg* 2008;61:250–6.
- 654 20. Shah SB, Jackler RK. Facial nerve surgery in the 19th and early 20th centuries: the evolution
655 from crossover anastomosis to direct nerve repair. *Am J Otol* 1998;19:236–45.
- 656 21. Terzis JK, Konofaos P. Nerve transfers in facial palsy. *Facial Plast Surg* 2008;24:177–93.
- 657 22. Frey M, Giovanoli P, Michaelidou M. Functional upgrading of partially recovered facial
658 palsy by cross-face nerve grafting with distal end-to-side neurotaphy. *Plast Reconstr Surg*
659 2006;117:597–608.
- 660 23. Atlas MD, Lowinger DS. A new technique for hypoglossal-facial nerve repair. *Laryngoscope*
661 1997;107:984–91.
- 662 24. De Cordier BC, de la Torre JI, Al-Hakeem MS, et al. Endoscopic forehead lift: review of
663 technique, cases, and complications. *Plast Reconstr Surg* 2002;110:1558–68.
- 664 25. Steinsapir KD, Shorr N, Hoenig J, et al. The endoscopic forehead lift. *Ophthal Plast Reconstr*
665 *Surg* 1998;14:107–18.

666
667
668
669
670
671
672
673
674
675

Chapter 24

676
677
678
679
680
681
682
683
684
685
686
687
688
689
690
691
692
693
694
695
696
697
698
699
700
701
702
703
704
705
706
707
708
709
710
711
712
713
714
715
716
717
718
719
720

Q. No.	Query
AQ1	Please check the edits made to the sentence “a direct curvilinear incision and skin resection...” for clarity.
AQ2	Please update reference [5].

UNCORRECTED PROOF